

DP RATE CARD (ANNEXURE A)

Account Opening Charges & AMC (Please tick appropriate AMC Scheme)

Account Opening Charges	NIL
LIFE TIME AMC – Individual Resident Clients with Broking Accounts	<input type="checkbox"/> Rs 900/- Life Time AMC – 10 Years Period <input type="checkbox"/> Rs.500/- Life Time AMC – 5 Years Period
LIFE TIME AMC – INDIVIDUAL NON RESIDENT CLIENTS	Rs 2,500/- Life Time AMC – 10 Years Period
Annual Maintenance Charges for Non Resident Client	Rs 1,000/- p.a.
Annual Maintenance Charges for Resident Individual Client without Broking Account / Corporate Clients	Rs 1,000/- p.a.

Demat & Remat Charges

Demat Charges	Rs 50/- per DRF(up to 5 Certificates)More than 5Certificates Rs 5/- per certificate plus .50/- (Minimum Charges)
Demat Charges NRI	Rs 200/- per DRF(up to 5 Certificates)More than 5Certificates Rs 30/- per certificate plus 200/- (Minimum Charges)
Remat Charges	Rs 15/- per Certificate for every hundred shares or part thereof subject to maximum fee of Rs. 5,00,000 or a flat fee of Rs.15/- per certificate whichever is higher plus handling charges Rs.50/- No rematerialisation fee for Govt.Securities.
Demat Rejection	Rs 35/- per rejection (up to 5 Certificate) More than 5 Certificates Rs 3/- + Rs 35/-(Minimum Charges)

Conversion Request (Mutual Fund) Charges

Conversion request Charges	Rs 50/- per CRF
Conversion request Charges NRI	Rs 200/- per CRF

Transaction Charges

Market Buying (Receipts) off Market Credit & Inter Depository Receipts	NIL
Market Selling (Debits) through Navia Markets Ltd with POA(POA issued favouring NML)	0.02% of Transaction Value, subject to Minimum of Rs 15/- Per Transaction & Maximum of Rs.200/-
Market Selling (Debits) through Navia Markets Ltd without POA	0.02% of Transaction Value, subject to Minimum of Rs 20/- Per Transaction & Maximum of Rs.200/-
Market Selling (Debits) other than Navia Markets Ltd. Off Markets Debits & Inter Depository Debits	0.04% of Transaction Value, subject to Minimum of Rs 30/- Per Transaction
Inter Settlement Transfer	Rs 15/- per Transaction for non POA
Failed Transaction	Rs 15/- per Transaction

Pledge & Securities lending and Borrowing:

Pledge Creation	0.02% of the value of the securities pledged, subject to minimum of Rs .50/- per Transaction
Pledge Closure & Invocation	0.02% of the value of the securities pledged, subject to minimum of Rs.50/- per Transaction
Securities Borrowing	Rs 50/- per Transaction

Modification Charges : Rs.100/- for every change of address / Bank Details

(Sign.5/15)

(First / Holder)

(Second / Holder)

(Third / Holder)

Terms & Conditions for Life Time AMC:

- 1) Life Time means 10 Years Period./ 5 Year Period depends on the Scheme chosen by the client.
- 2) AMC Calculated as: For Resident Individual : Rs 900/- p.a for first one year and balance 9 years FREE for AMC, For NRI's (Individual) & Foreign nationals: Rs 2500/- p.a for first one year and balance 9 years FREE for AMC, For Resident Individual : Rs 500/- p.a for first one years and balance 4 years FREE For AMC.
- 3) Opting for Life Time AMC is mandatory to sign ETR (Electronic Transaction Receipt form) in case if the Client is not yet opted. All new customers mandatory to be for ETR to avail this LIFE TIME AMC Scheme.
- 4) This AMC is exclusive of Service Tax and Other levies as per Govt. of India.
- 5) Existing account holder can opt for this scheme. Adjustment of Existing AMC will be done till the current quarterly. Quarterly means Jan-Mar, Apr-June, July-Sept and Oct-December, Balance amount can be paid and convert to this Scheme.

Terms & Conditions:

1. Any extra statement would be charged @ Rs.15/- per statement of first 10 pages, thereafter it would be charged @ Rs. 2/- per page.
2. The AMC is charged for one year and will be automatically renewed at the end of the year at the prevailing rates, unless Navia Markets Ltd receives written communication in the prescribed format.
3. Transaction cum billing statements will be sent on a monthly basis provided there is a transaction.
4. Billing statements in case of no transaction will be sent on a quarterly basis.
5. Service Tax an applicable would be levied.
6. Demand Drafts should be in favour of "Navia Markets Ltd" payable at "Chennai" only. No outstation cheques will be accepted other than our branches located.
7. Any advance payments over and above the actual amount due can also be made. Any such higher amount paid than the actual amount payable shall be adjusted against the bills raised from time to time. No interest is applicable for advance payments.
8. Cheque bounce charges will be applied based on charges as determined by bankers plus Rs.10/- as handling charges.
9. In case of delays in the payment of charges, the demat account can be frozen for all operations till such time until dues are cleared.
10. "All market instructions for transfer must be received latest by 4.00 p.m. on the previous working day prior to the pay-in day as per SEBI Guideline. All off market instructions for transfer must be received atleast 24 hours before the execution date. Late instructions would be accepted with the Late fee Rs.50/- per instruction and at the account holder's sole risk and responsibility".
11. Charges are subject to revision at the company's sole discretion and as per revisions in NSDL charges.
12. Any service not quoted above will be charged separately.

(Sign.6/15)

(First /Holder)

(Second / Holder)

(Third / Holder)